

Computing localizations iteratively

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Weyl algebra

- Let K be a field of characteristic zero. (Think: $K = \mathbb{C}$)
- Affine space: $X = K^n$.
- **Weyl algebra**: an associative algebra

$$D_X = K\langle \mathbf{x}, \boldsymbol{\partial} \rangle = K\langle x_1, \dots, x_n, \partial_1, \dots, \partial_n \rangle$$

where $[\partial_i, x_i] = \partial_i x_i - x_i \partial_i = 1$ and all other pairs of generators commute.

- D_X is isomorphic to the algebra of **linear differential operators** with polynomial coefficients.
- Every element has the **normal form**

$$Q = \sum_{\alpha, \beta \in \mathbb{Z}^n} c_{\alpha\beta} \mathbf{x}^\alpha \boldsymbol{\partial}^\beta,$$

where finitely many of $c_{\alpha\beta} \in K$ are nonzero.

D -modules

- D_X is simple: only trivial two-sided ideals.
- Today we consider only **left** ideals and **left** D_X -modules.
- Examples of D -modules: $K[\mathbf{x}]$, $K[[\mathbf{x}]]$, $C^\infty(X)$.
- Another example: localization $K[\mathbf{x}, f^{-1}]$ where f is a nonzero polynomial:

$$x_i \cdot g f^{-j} = x_i g f^{-j},$$

$$\partial_i \cdot g f^{-j} = \left(\frac{\partial g}{\partial x_i} f - j g \right) f^{-j-1},$$

for $1 \leq i \leq n$, $g \in K[\mathbf{x}]$, and $j \in \mathbb{Z}$.

- **Software:**
 - kan/sml (Takayama)
 - risa/asir (Noro)
 - dmod.lib, Singular (Levandovsky et al.)
 - D-modules, Macaulay2 (L., Tsai)

Gröbner bases

- D_X is Gröbner-friendly: D_X is an algebra of solvable type.
- Gröbner bases can be computed with respect to any w -compatible monomial order, where $w = (w_x, w_\partial) \in \mathbb{R}^{2n}$ satisfies $w_x + w_\partial \geq 0$ componentwise.

Definition (Characteristic ideal/variety)

$$\text{cI}(I) = \text{in}_w(I) \subset \text{gr}_w(A_n) = K[x, \xi] = K[x_1, \dots, x_n, \xi_1, \dots, \xi_n]$$

Here $w = (0, e)$ assigns $w(x_i) = 0$ and $w(\partial_i) = 1$ for all i .

$$\text{cV}(A_n/I) = \mathbb{V}(\text{cI}(I)) \subset K^{2n}$$

Theorem (Fundamental theorem of algebraic analysis)

Let I be a nonzero left A_n -ideal, then $n \leq \dim(\text{cV}(A_n/I)) \leq 2n$,

Holonomic D -modules

- An ideal (or a D -module) is called **holonomic** if its dimension equals n .
- Examples:
 - any nontrivial principal ideal in case $r = 1$;
 - D_X -module $R = K[x]$;
 - D_X -module $K[\partial]$;
 - **localization** $R_f = K[x, f^{-1}]$.
- **Theorem:** Every holonomic D_X -module is cyclic.
- Every holonomic module M can be thought of as

$$M = D_X \cdot (\text{cyclic generator}) \cong D_X / \text{Ann}_{D_X}(\text{cyclic generator}).$$

Localization

- $R_f = K[\mathbf{x}, f^{-1}] = D_X f^a$ for some $a \in \mathbb{Z}_{<0}$.
- Fact: largest possible $a =$ largest root of the **Bernstein-Sato polynomial** $b(s) \in \mathbb{Q}[s]$, the monic polynomial of the smallest possible degree such that

$$b(s)f^s = Q(s, \mathbf{x}, \partial) \cdot f^{s+1}, \text{ where } Q \in D_X[s].$$

- Ingredients of the algorithm for localization (Oaku 1997):
 1. Computation of $\text{Ann}(f^s)$.
 2. Computation of $b(s)$ to determine a .
 3. Specialization: $\text{Ann}(f^s)|_{s=a}$.
- Items 1 and 2 use elimination via Gröbner bases (**expensive!**).
- Annihilator of f^a in $K(\mathbf{x}) \otimes_{K[\mathbf{x}]} D_X$ is $\langle f\partial_i - a\frac{\partial f}{\partial x_i} \mid i = 1, \dots, n \rangle$.

Example: planar curves

- Theorem (Kashiwara, Varchenko): a can be set to $-n + 1$.
- For planar curves, $f \in K[x, y]$, localization
 $R_f = D_X \cdot f^{-1} = D_X / \text{Ann}(f^{-1})$.
- Reiffen curves: $f = f_{p,q} = x^p + y^q + xy^{q-1} = 0$, where
 $p \geq 4, q \geq p + 1$.

$$\begin{aligned} \text{Ann}(f_{4,5}^{-1}) = & \langle 4x^2\partial_x + 5xy\partial_x + 3xy\partial_y + 4y^2\partial_y + 16x + 20y, \\ & 16xy^2\partial_x + 4y^3\partial_x + 12y^3\partial_y - 125xy\partial_x - 4x^2\partial_y + \\ & 5xy\partial_y - 100y^2\partial_y + 64y^2 - 500y, \\ & 16y^3\partial_x^2 - 16y^3\partial_x\partial_y + 125xy\partial_x^2 - 35xy\partial_x\partial_y + 100y^2\partial_x\partial_y + \\ & 12x^2\partial_y^2 - 2xy\partial_y^2 - 24y^2\partial_y^2 + 112xy\partial_x - 36y^2\partial_x + \\ & 84y^2\partial_y - 930x\partial_x + 625y\partial_x + 26x\partial_y - \\ & 893y\partial_y + 448y - 3720 \rangle \end{aligned}$$

Iterative approach

Definition (Truncated annihilator)

$$\text{Ann}^{(d)}(\dots) = \langle Q \in D_X \text{Ann}(\dots) \mid \text{ord } Q \leq d \rangle$$

To compute, e.g., $\text{Ann}^{(d)}(f^{-1})$ find R -syzygies for the vector of partial derivatives $(\partial^\alpha \cdot f^{-1})_\alpha$ where $|\alpha| \leq d$.

Example ($\text{Ann}^{(1)}(f^{-1})$ for $f = x^2 - y^3$)

$$\begin{aligned} \partial_x \cdot f^{-1} &= -2x & f^{-2} \\ \partial_y \cdot f^{-1} &= 3y^2 & f^{-2} \\ 1 \cdot f^{-1} &= (x^2 - y^3) & f^{-2} \end{aligned}$$

E.g., $3x(-2x) + 2y(3y^3) + 6(x^2 - y^3) = 0$, hence,

$$3x\partial_x + 2y\partial_y + 6 \in \text{Ann}^{(1)}(f^{-1}).$$

Stopping criteria?

- $\text{Ann}^{(d)}(f^{-1}) = \text{Ann}(f^{-1})$ for some d . **When to stop?**
- **Note:** $\text{Ann}^{(d)}(f^{-1}) = \text{Ann}^{(d-1)}(f^{-1})$ does not imply $\text{Ann}^{(d+1)}(f^{-1}) = \text{Ann}^{(d)}(f^{-1})$.
- **Project:** study $\kappa(f)$...

Definition ("kappa" invariant)

$$\kappa(f) = \min\{d \mid \text{Ann}^{(k)}(f^{-1}) = \text{Ann}(f^{-1}) \text{ for all } k \geq d\}$$

κ (planar curve)

Input: $f \in R = K[x, y]$, a curve with the isolated singularity at the origin.

Output: $d = \kappa(f)$, $A = \text{Ann}(f^{-1})$.

1: $d \leftarrow 0$.

2: **repeat**

3: $d \leftarrow d + 1$.

4: $A \leftarrow \text{Ann}^{(d)}(f^{-1})$.

5: $\mathfrak{a} \leftarrow$ primary component of $cV(A)$ corresponding to the origin.

6: **until** $\deg \mathfrak{a} = (\text{multiplicity of } f \text{ at the origin}) - 1$

Experiment: $\kappa(f_{p,q})$ seems to depend only on p ; starting with $p = 4$, the sequence $\kappa(f_{p,*})$ is

2, 2, 3, 4, 4, 5, 6, 6, ...

Weyl closure

- **Weyl closure** of $I \subset D_X$ is

$$\text{WeylCl}(I) = K(\mathbf{x}) \otimes_{K[\mathbf{x}]} I \cap D_X.$$

- Recall: Annihilator of f^{-1} in $K(\mathbf{x}) \otimes_{K[\mathbf{x}]} D_X$ is $\langle f\partial_i + \frac{\partial f}{\partial x_i} \mid i = 1, \dots, n \rangle$.
- $\text{Ann}(f^{-1}) = \text{WeylCl}(\langle f\partial_i + \frac{\partial f}{\partial x_i} \mid i = 1, \dots, n \rangle)$.
- Can we find the Weyl closure? **Yes!** (Harry Tsai, 2000)

Conclusion/Future

- Computation of the truncated annihilator could be cheaper...
- In case of a planar curve
 - there is a stopping criterion;
 - it needs to know the degree of the (embedded) component of the characteristic variety;
 - one can compute this degree numerically! (future)
- A stopping criterion in a more general setting? (future)
- Iterative algorithm for the Weyl closure? (future)